

FORM PTO-1390
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**


ATTORNEY'S DOCKET NUMBER ADAMS1100

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/830018INTERNATIONAL APPLICATION NO.
PCT/IB99/01723INTERNATIONAL FILING DATE
21 October 1999PRIORITY DATE CLAIMED
23 October 1998TITLE OF INVENTION
VEHICLE IDENTIFICATION SYSTEMAPPLICANT(S) FOR DO/EO/US
Leslie John CASS & Lambertus Petrus VOS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing 35 USC 371.
 3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
 4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2))
 - a. ☐ is attached hereto
 - b. ☐ has been previously submitted under 35 USC 154(d)(4)
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
 9. ☒ An unsigned oath or declaration of the inventor(s) (35 USC 371(c)(4)).
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A FIRST preliminary amendment.
 14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☐ A computer-readable form of sequence listing in accordance with PCT Rule 13 and 35 USC 1821-1825
 18. ☐ A second copy of the published International Application under 35 USC 154(d)(4).
 19. ☐ A second copy of the English language translation of the International Application under 35 USC 154(d)(4).
 20. ☐ Other items or information: Express Mail Certification

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/830018		INTERNATIONAL APPLICATION NO. PCT/IB99/01723		ATTORNEY'S DOCKET NO. ADAMS1100	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.. International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by EPO or JPO International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).		\$1,000.00 \$ 860.00 \$ 710.00 \$ 690.00 \$ 100.00		\$ 860.00 \$ \$ 414.00 \$ 160.00 \$ \$ 1,434.00 \$ 717.00 \$ 717.00 \$ \$ 717.00 \$ \$ 717.00 Amount to be refunded: \$ charged: \$	
ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	43 - 20 =	23	x \$ 18.00		
Independent Claims	5 - 3 =	2	x \$ 80.00		
Multiple dependent claim(s) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL =					
Processing fee of \$130. for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =					
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>717.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1355</u> A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. <div style="display: flex; justify-content: space-between;"> <div> SEND ALL CORRESPONDENCE TO: GRAY CARY WARE & FREIDENRICH 4365 Executive Drive, Suite 1600 San Diego, California 92121 PHONE: 858/677-1456 - FAX: 858/677-1465 </div> <div style="text-align: right;">  LISA A. HAILE, Reg. No. 38,347 Date <u>4/19/01</u> </div> </div>					

09/830018

532 Rec'd PCT/PTO 19 APR 2001

DOCUMENT: U.S. National Phase Application For
PCT/IB99/01723

DOCKET NO.: ADAMS1100

SERIAL NO.: Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER EL617045603US

DATE OF DEPOSIT 19 April 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231, BOX PCT.

Mikhail Bayley
(Typed or Printed Name of Person Mailing Papers)

Signature

